

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
99-063Total Pages in this Submission
77**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

RETAIL SYSTEM FOR SELLING PRODUCTS BASED ON A FLEXIBLE PRODUCT DESCRIPTION

and invented by:

WALKER, Jay S.; KIM, Peter; JORASCH, James A.; MIK, Magdalena; TEDESCO, Daniel E.; SAMMON, Russell Pratt; GOLDEN, Andrew P.; MUELLER, Raymond, J.

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/337,906

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/359,265

Which is a:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/338,723**Continued on page 2 of 4**

Enclosed are:

Application Elements

1. ☐ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 50 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL (LARGE ENTITY)

Continued from page 1 of 4

This patent application is a continuation-in-part of co-pending U.S. Patent Application
Serial No. 08/889,503, filed July 8, 1997;

And is a continuation-in-part of co-pending U.S. Patent Application Serial No.
08/997,170, filed December 22, 1997;

And is a continuation-in-part of co-pending U.S. Patent Application Serial No.
09/220,191, filed December 23, 1998;

Additionally, this patent application claims the benefit of original
U.S. Application No. 60/165,435, filed November 15, 1999.

0954003-034100
DOTED-SE04550

UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

99-063

Total Pages in this Submission

77

Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal Number of Sheets 27
- b. ☐ Informal Number of Sheets _____
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)* ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EL080833116US

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
99-063

Total Pages in this Submission
77

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Additional Enclosures (please identify below):

JC542 U.S. PTO
09/540035
03/31/00

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	45	- 20 =	25	x \$18.00	\$450.00
Indep. Claims	22	- 3 =	19	x \$78.00	\$1,482.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$2,622.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0271 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$2,622.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).


Signature

Nandu A. Talwalkar
Attorney for Applicants
PTO Reg. No. 41,339
Telephone: (203) 461-7061
Facsimile: (203) 595-8266

Dated: March 31, 2000



22927

PATENT TRADEMARK OFFICE

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 99-063

In re Application of: Jay S. Walker et al.) Customer No.: 22927
)
For: RETAIL SYSTEM FOR SELLING) Examiner: Not Yet Assigned
PRODUCTS BASED ON A FLEXIBLE)
PRODUCT DESCRIPTION)
)
Serial No.: Not Yet Assigned) Group Art Unit: Not Yet Assigned
)
Filing Date: March 31, 2000) Docket No.: 99-063

Box Patent Application
Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL LETTER

Dear Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Certificate of Express Mailing No. EL080833116US;
2. Utility Patent Application Transmittal (in duplicate);
3. Combined Declaration and Power of Attorney;
4. Patent Application (50 pgs.);
5. Formal Drawings (27 Figs. 1-21);
6. Postcard.

The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$2,622.00 to Deposit Account No. 50-0271. Order No. 99-063. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-063. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,



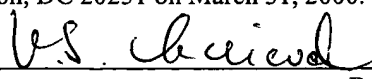
Nandu A. Talwalkar
Attorney for Applicants
PTO Reg. No. 41,339
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905

March 31, 2000

Certificate of Express Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail in an envelope labeled EL080833116US with sufficient postage addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231 on March 31, 2000.

Veronika S. Leliever
Typed Name of Person Making Deposit

 03/31/00
Signature Date of deposit

00T E E " 5 E 0 0 4 5 6 0

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): JAY S. WALKER ET AL.

Docket No.

99-063

Serial No.

Not Yet Assigned

Filing Date

March 31, 2000

Examiner

Not Yet Assigned

Group Art Unit

Not Yet Assigned

Invention:

RETAIL SYSTEM FOR SELLING PRODUCTS BASED ON A FLEXIBLE PRODUCT DESCRIPTION

jc542 U.S. PTO
09/540035
03/31/00I hereby certify that this **PATENT APPLICATION**

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on
March 31, 2000

(Date)

Veronika S. Leliever

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)**EL080833116US**

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.